

Audio Signal Processing : I. Introduction

Emmanuel Bacry

`bacry@ceremade.dauphine.fr`

`http://www.cmap.polytechnique.fr/~bacry`

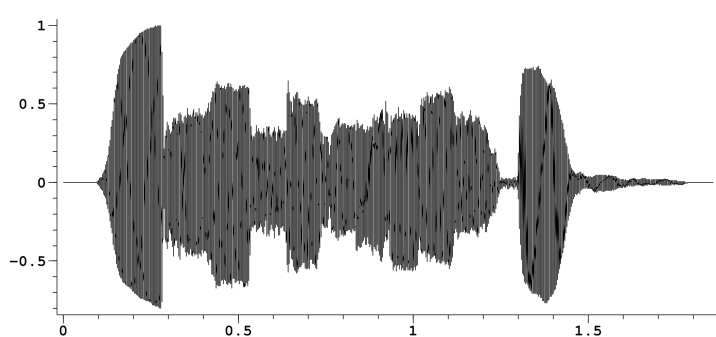
What is an Audio wave ?

- rarefaction/compression of molecules
- Progressive pressure wave
- different velocity depending on material
 - air : $340m.s^{-1}$
 - water : $1420m.s^{-1}$
 - steel : $6000m.s^{-1}$

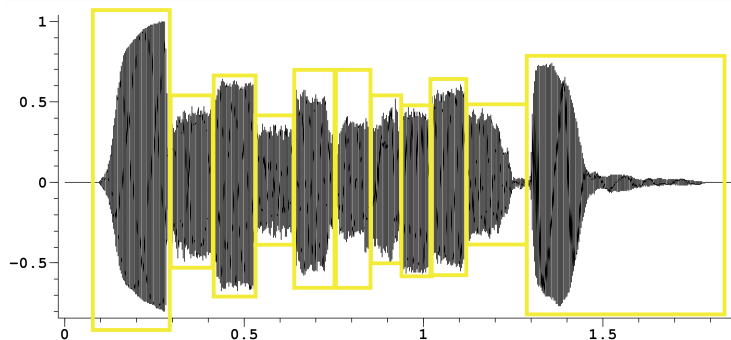
What is an Audio signal ?

- It is a 1D representation of the wave
- Audio signal $s(t)$: Measured pressure at a given location as a function of time
 - A microphone captures the wave with its membrane, and converts its movement into an electric (analog) signal
 - $s(t) = 0 \Rightarrow$ no sound, membrane is at rest
 - $\Rightarrow \langle s(t) \rangle = 0$ in general

A first audio signal example

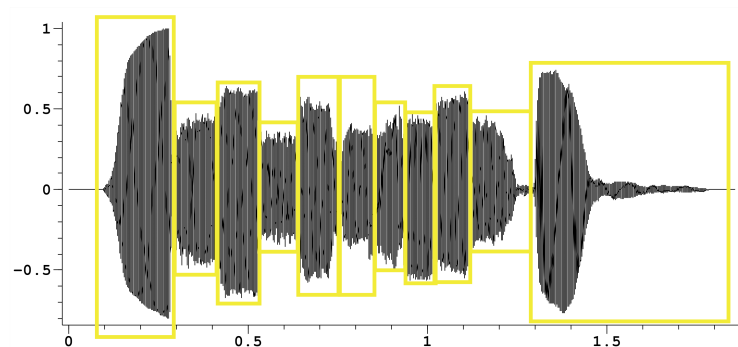


A first audio signal example



We can identify the notes on the signal representation !

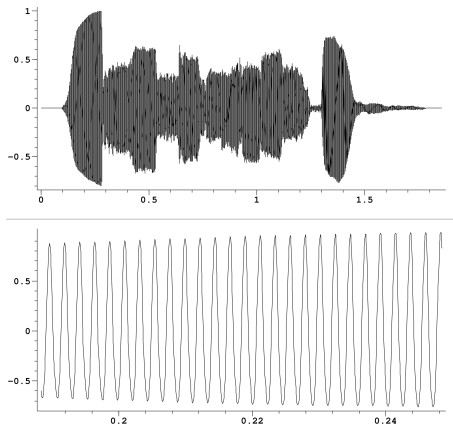
A first audio signal example



In first approximation, each note has a

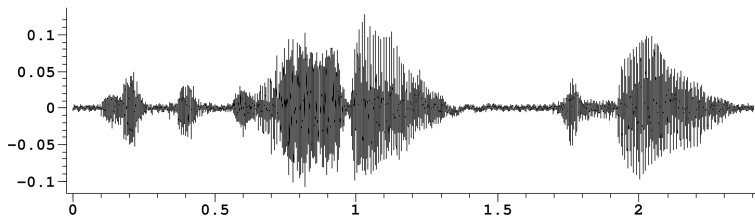
- attack phase
- sustain phase
- decay phase

Let's zoom on a sustain phase

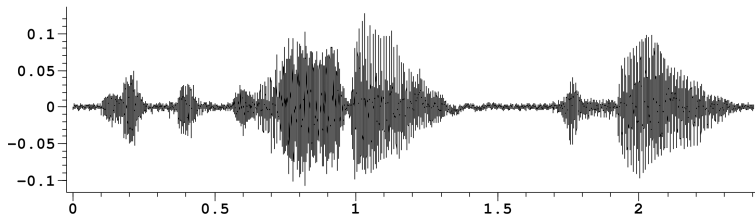


⇒ It is \simeq Periodic !

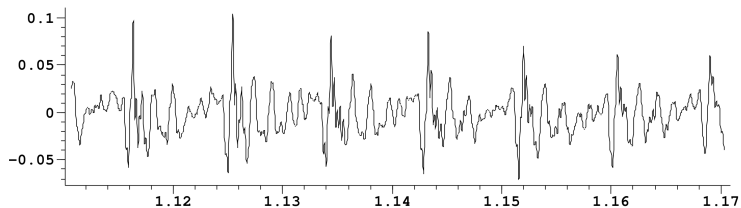
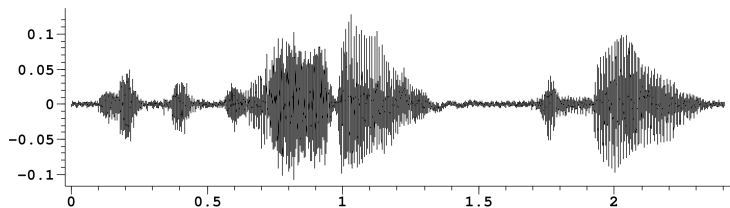
A second audio signal example



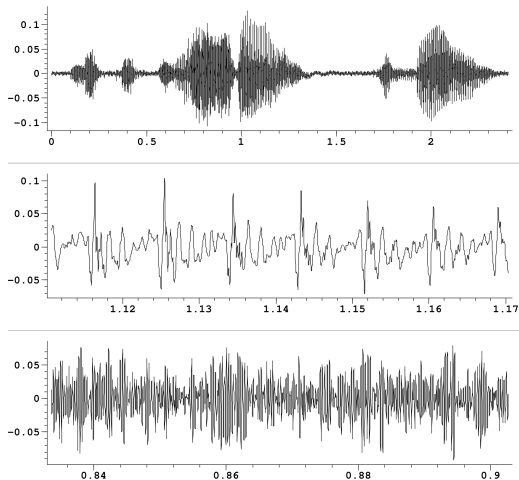
Well ... it is harder to "read" isn't it ?



Let's zoom on the "sustain" part of the 'a' vowel

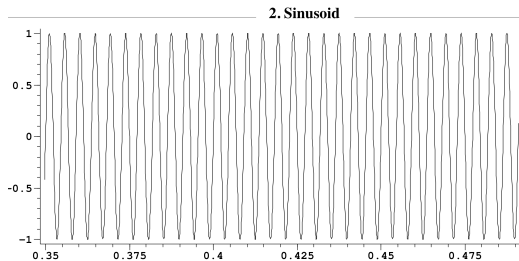
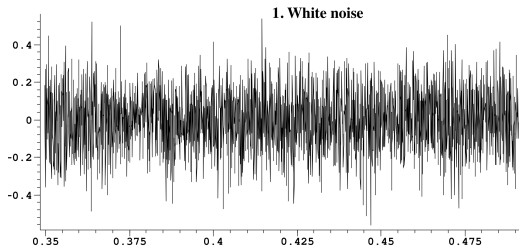


Let's zoom on the "noisy" part : the 'ch'



⇒ It is noisy !

Two "building blocks"



Two "building blocks"

1. White noise

$$s(t) = W(t)$$

2. Sinusoid

$$s(t) = A \sin(\omega t + \phi)$$

Pure sound

$$s(t) = A \sin(\omega t + \phi)$$

1. A is the **amplitude** and $I = A^2$ is the **intensity**
 - It is link to the volume of the sound
 - unit measure : deciBel

$$10 \log_{10} \frac{I}{I_0} = 20 \log_{10} \frac{A}{A_0} =$$

where I_0 is a seuil decoute

Pure sound

$$s(t) = A \sin(\omega t + \phi)$$

2. ω is the **pulsation**, and $F = \frac{\omega}{2\pi}$ is the **frequency**)
- It is link to the pitch of the sound
 - unit measure : Hertz : number of oscillations per seconds

Pure sound

$$s(t) = A \sin(\omega t + \phi)$$

3. ϕ is the **phase**

- On pure sound \simeq translation in time

Periodic sound

$$s(t) = s(t + T)$$

where

- T is the period
- $F = \frac{1}{T}$ is the fundamental frequency
- $\omega = \frac{2\pi}{T}$ is the pulsation

⇒ Let's use Fourier series !

Periodic sound

$$s(t) = s(t + T)$$

Fourier series Theorem :

$$s(t) = \sum_{n=-\infty}^{+\infty} c_n e^{in\omega t} \quad \text{with} \quad c_n = \frac{1}{T} \int_0^T s(t) e^{-in\omega t} dt$$

$$s(t) = \sum_{n=-\infty}^{+\infty} c_n e^{in\omega t} \quad \text{with} \quad c_n = \frac{1}{T} \int_0^T s(t) e^{-in\omega t} dt$$

- $s(t)$ is a real-valued signal

$$\implies s(t) = \sum_{n=0}^{+\infty} a_n \sin(n\omega t + \phi_n)$$

- $\langle s(t) \rangle = 0$

$$\implies a_0 = 0$$

$$s(t) = \sum_{n=1}^{+\infty} a_n \sin(n\omega t + \phi_n), \quad \omega = \frac{2\pi}{T}$$

The n^{th} component $a_n \sin(n\omega t + \phi_n)$ corresponds to

- frequency $F_n = \frac{n}{T} = n \frac{\omega}{2\pi}$
 - $F_1 = \frac{1}{T}$ is the fundamental frequency (\simeq pitch of the sound)
 - $F_n = nF_1$ are the harmonics of the sound
- amplitude a_n

Some very basic musical notions

The keys (on the piano)

- The white keys :
 - English notation : $C D E F G A B \dots$ (cyclic)
 - French notation : $do ré mi fa sol la si \dots$ (cyclic)
- cyclic \rightarrow octave periodic (octave = frequency ratio of 2)
- General notation : $do4 =$ the do of the 4th octave
- The black keys
 $do do\# ré ré\# mi fa fa\# sol sol\# la la\# si \dots$ (cyclic)

A dive into harmonics ...

$$F_n = nF_1$$

<i>n</i>	1	2	3	4	5	6	7	8	9	10	11	12
<i>note</i>	<i>do1</i>	?	?	?	?	?	?	?	?	?	?	?

A dive into harmonics ...

$$F_2 = 2F_1$$

<i>n</i>	1	2	3	4	5	6	7	8	9	10	11	12
<i>note</i>	<i>do1</i>	<i>do2</i>	?	?	?	?	?	?	?	?	?	?

A universal frequency ratio : the octave = a ratio of 2

A dive into harmonics ...

$$F_3 = 3F_1$$

<i>n</i>	1	2	3	4	5	6	7	8	9	10	11	12
<i>note</i>	<i>do1</i>	<i>do2</i>	<i>sol2</i>	?	?	?	?	?	?	?	?	?

**Another universal frequency ratio : the fifth = a ratio of $3/2$
= *sol2/do2***

A dive into harmonics . . .

$$F_4 = 4F_1$$

<i>n</i>	1	2	3	4	5	6	7	8	9	10	11	12
<i>note</i>	<i>do1</i>	<i>do2</i>	<i>sol2</i>	<i>do3</i>	?	?	?	?	?	?	?	?

The octave again $do3/do2 = 4/2 = 2$

A dive into harmonics . . .

$$F_5 = 5F_1$$

<i>n</i>	1	2	3	4	5	6	7	8	9	10	11	12
<i>note</i>	<i>do1</i>	<i>do2</i>	<i>sol2</i>	<i>do3</i>	<i>mi3</i>	?	?	?	?	?	?	?

Another important ratio : the third $mi3/do3 = 5/4$

A dive into harmonics . . .

$$F_6 = 6F_1$$

<i>n</i>	1	2	3	4	5	6	7	8	9	10	11	12
<i>note</i>	<i>do1</i>	<i>do2</i>	<i>sol2</i>	<i>do3</i>	<i>mi3</i>	<i>sol3</i>	?	?	?	?	?	?

The octave again $sol3/sol2 = 6/3 = 2$

A dive into harmonics . . .

$$F_7 = 7F_1$$

<i>n</i>	1	2	3	4	5	6	7	8	9	10	11	12
<i>note</i>	<i>do1</i>	<i>do2</i>	<i>sol2</i>	<i>do3</i>	<i>mi3</i>	<i>sol3</i>	<i>sib3</i>	?	?	?	?	?

The seventh : *sib* is a note slightly above the *la#* of the piano

A dive into harmonics . . .

$$F_8 = 8F_1$$

<i>n</i>	1	2	3	4	5	6	7	8	9	10	11
<i>note</i>	<i>do1</i>	<i>do2</i>	<i>sol2</i>	<i>do3</i>	<i>mi3</i>	<i>sol3</i>	<i>sib3</i>	<i>do4</i>	?	?	?

The octave again : $do4/do3 = 8/4 = 2$

A dive into harmonics ...

$$F_9 = 9F_1$$

<i>n</i>	1	2	3	4	5	6	7	8	9	10	11
<i>note</i>	<i>do1</i>	<i>do2</i>	<i>sol2</i>	<i>do3</i>	<i>mi3</i>	<i>sol3</i>	<i>sib3</i>	<i>do4</i>	<i>ré4</i>	?	?

The ninth : *ré4*

A dive into harmonics . . .

$$F_1 0 = 10 F_1$$

<i>n</i>	1	2	3	4	5	6	7	8	9	10	11
<i>note</i>	<i>do1</i>	<i>do2</i>	<i>sol2</i>	<i>do3</i>	<i>mi3</i>	<i>sol3</i>	<i>sib3</i>	<i>do4</i>	<i>ré4</i>	<i>mi4</i>	?

The octave again : $mi4/mi3 = 10/5 = 2$

A dive into harmonics ...

$$F_{11} = 11F_1$$

<i>n</i>	1	2	3	4	5	6	7	8	9	10	11
<i>note</i>	<i>do1</i>	<i>do2</i>	<i>sol2</i>	<i>do3</i>	<i>mi3</i>	<i>sol3</i>	<i>sib3</i>	<i>do4</i>	<i>ré4</i>	<i>mi4</i>	<i>fa4</i>

A dive into harmonics . . .

$$F_{11} = 11F_1$$

<i>n</i>	1	2	3	4	5	6	7	8	9	10	11
<i>note</i>	<i>do1</i>	<i>do2</i>	<i>sol2</i>	<i>do3</i>	<i>mi3</i>	<i>sol3</i>	<i>sib3</i>	<i>do4</i>	<i>ré4</i>	<i>mi4</i>	<i>fa4</i>

The octave again : $sol4/sol3 = 12/6 = 2$

Some important chords/intervals ...

- the octave : $do1+do2$
- the fifth : $do+sol$
- the third : $do+mi$
- the perfect chord : $do+mi+sol$
- the seventh chord : $do+mi+sol+sib$

$$s(t) = \sum_{n=1}^{+\infty} a_n \sin(n\omega t + \phi_n), \quad \omega = \frac{2\pi}{T}$$

What about the amplitudes a_n ?

In a physical system, generally a_n is decreasing with n

- pinched string (harp, pizzicatti) : $a_n = \frac{1}{n^2}$
- struck string (piano) : $a_n = \frac{1}{n}$

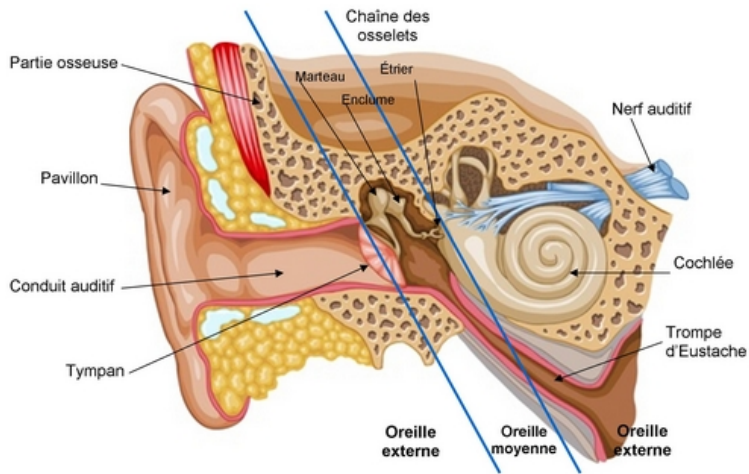
$$s(t) = \sum_{n=1}^{+\infty} a_n \sin(n\omega t + \phi_n), \quad \omega = \frac{2\pi}{T}$$

A "miracle" : when adding up harmonics we do not hear the different pitches, we still here the pitch corresponding to Fundamental frequency $F_1 = \frac{1}{T}$

The a_n are \simeq responsible for the timber (more later)

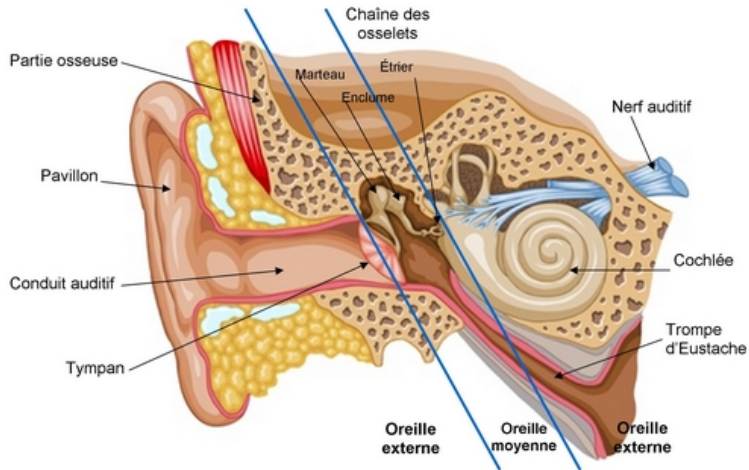
- The pure sound
- The triangle sound
- The sawtooth sound
- The square sound

I.3 Auditory organ : External ear



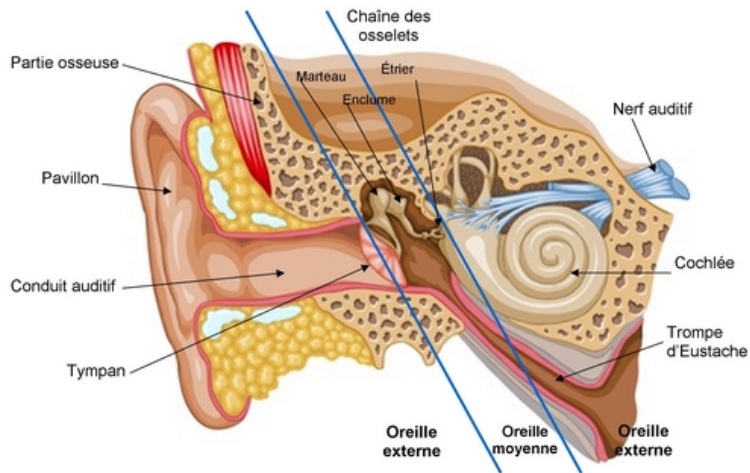
External ear : ear canal + pinacle

I.3 Auditory organ : Middle ear



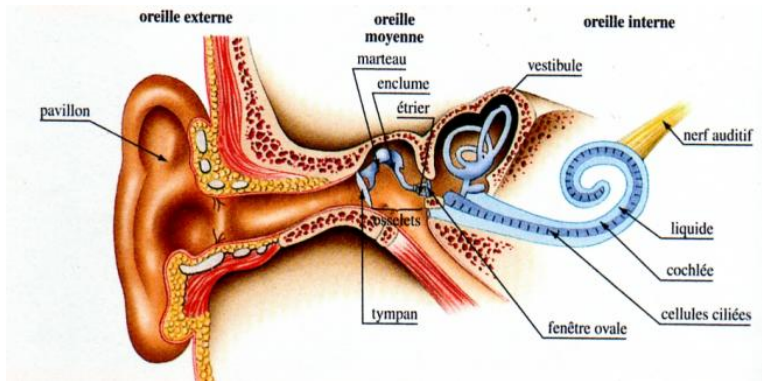
Middle ear : the eardrum operates the ear hammer which strikes on the anvil

I.3 Auditory organ : Internal ear

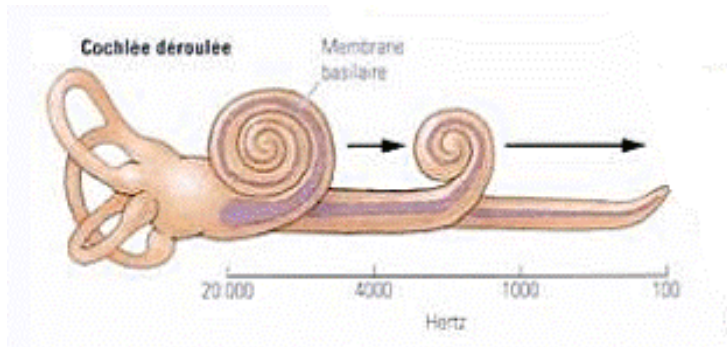


Internal ear : the anvil makes the stirrup vibrate at the entrance to the spiral shaped cochlea

I.3 Auditory organ : Internal ear

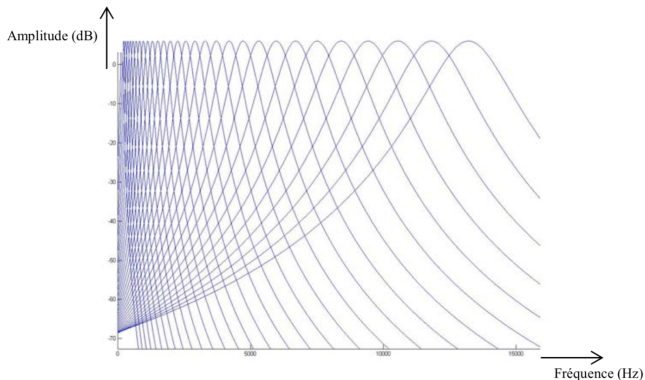


Cochlea : has "hairy" cells that directly connected to nerve cells



Cochlea : has "hairy" cells that are activated by different frequencies and directly linked to nerve cells ... (and then it goes to the brain !)

I.3 Auditory organ : Internal ear



The hairy cells act like a filter bank, with a better resolution in low frequency than high frequency (wavelets ?).

Psycho-acoustics : the branch of psychology concerned with the perception of sound and its physiological effects

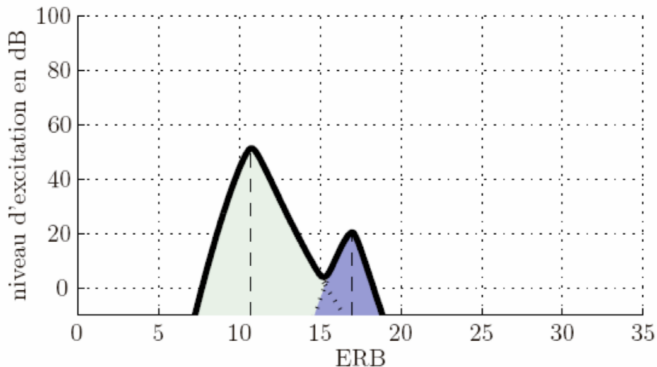
Perception of Intensity

- Perceptively, the intensities of several sounds do not simply add up.
- The resulting perceived intensity is the result of a very complex process.
- Perceptive unit : Sonie (1 sone = 1000Hz at 40dB)
- In practice : at 100Hz with more or less perceive the real intensity, at 1000Hz the perceived intensity is much smaller
- Model ?

$$\text{sonie} =: \sum_i \text{hairy_cell_responses}_i$$

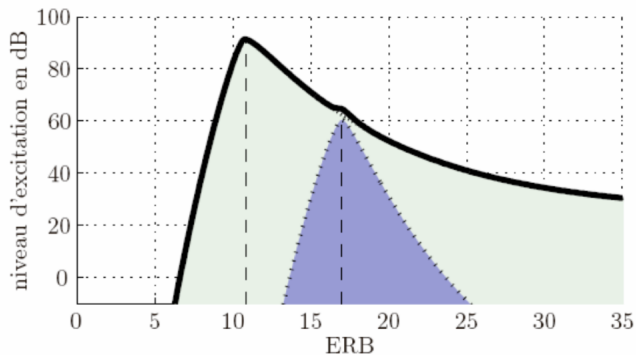
And there is a masking phenomenon within each hairy cell (it "keeps" only the strongest stimulus)

- It results in a global **masking phenomenon**



Response of two pure tones not too loud : 500Hz (50dB) and 1200Hz (20dB)

The Equivalent Rectangular Bandwidth (ERB) model by Moore and Glasberg (1983)



Response when tones are louder : 500Hz (90dB) and 1200Hz (60dB)

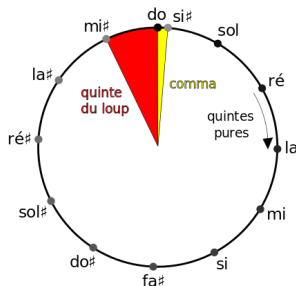
The 1200Hz tone is masked

Perception of Pitch

- Perceptive unit : Tonie
- The high pitch sounds are narrower than the low pitch ones : octaves are less than 2 in the high frequencies.
- The tuning of a piano is a complex process
 - in the mid-pitches there are 3 strings per key (potential interferences)
 - Which scale to choose ?
- Musical scales : the obsession of several centuries
 - Octave = 2 : OK ! (shares many harmonics)
 - then what ? fifth ?

Defining a musical scale ...

1. The fifth circle of pythagore : using the $\frac{3}{2}$ ratio



→ : The pythagorician comma : $\frac{531441}{524288}$

→ : "The perfect chord" is awful

Defining a musical scale ...

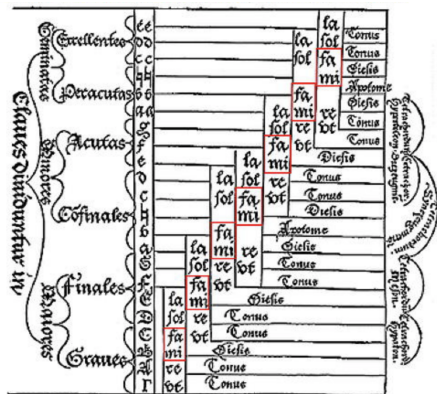
2. The Zarlino solution (16th century)



- The perfect chord in doM sounds nice
- But there are different types of thirds
- Don't even dare transposing too far from the original tone ...

Defining a musical scale ...

3. 4. 5. ... So many definitions ...



→ Don't even dare transposing too far from the original tone ...

Defining a musical scale ...

- The tempered scale : A revolution (Simon Stevin 1548-1620, Werckmeister 1691)

The idea : All the notes will be equally out of tune !

Let's divide the octave in twelve equal intervals

Equal division between all the 12 keys of the piano using a single ration : $2^{1/12}$

Back to pitch ...

- Is pitch \implies frequency ?
- Nope : pitch is about periodicity
- Pitch detection is a difficult task : all the more difficult if you are dealing with consonant music (sith octaves and fifths)

What about timber ? ...

- Timber : Very hard to defin notion
timbre is what makes a particular musical sound have a different sound from another
- Is there such a thing as the timber of a synthethizer ? of an organ ?

What about timber ? ...

Case of a periodic sound :

$$s(t) = \sum_{n=1}^{+\infty} a_n \sin(n\omega t + \phi_n), \quad \omega = \frac{2\pi}{T}$$

→ Timber is given by the a_n

WARNING : Subtle difference between a chord of pure sounds and a monophonic periodic sound

What about timber ? ...

Case of a periodic sound :

$$s(t) = \sum_{n=1}^{+\infty} a_n \sin(n\omega t + \phi_n), \quad \omega = \frac{2\pi}{T}$$

What about the phases ϕ_n ?

When exactly are we sensitive to the phases ?